

## SECTOR CLASSIFICATION: STRUCTURE & DEFINITIONS

The following structure and definitions are used to categorize securities by sector within Dow Jones indices.

INDUSTRY	SUPERSECTOR	SECTOR	SUBSECTOR	DEFINITION
0001 OIL & GAS	0500 Oil & Gas	0530 Oil & Gas Producers	0533 Exploration & Production	Companies engaged in the exploration for and drilling, production, refining and supply of oil and gas products.
			0537 Integrated Oil & Gas	Integrated oil and gas companies engaged in the exploration for and drilling, production, refining, distribution and retail sales of oil and gas products.
		0570 Oil Equipment, Services & Distribution	0573 Oil Equipment & Services	Suppliers of equipment and services to oil fields and offshore platforms, such as drilling, exploration, seismic-information services and platform construction.
			0577 Pipelines	Operators of pipelines carrying oil, gas or other forms of fuel. Excludes pipeline operators that derive the majority of their revenues from direct sales to end users, which are classified under Gas Distribution.
		0580 Alternative Energy	0583 Renewable Energy Equipment	Companies that develop or manufacture renewable energy equipment utilizing sources such as solar, wind, tidal, geothermal hydro, and waves.
			0587 Alternative Fuels	Companies that produce alternative fuels such as ethanol, methanol, hydrogen and biofuels that are mainly used to power vehicles, and companies that are involved in the production of vehicle fuel cells and/ or the development of alternative fuelling infrastructure.
1000 BASIC MATERIALS	1300 CHEMICALS	1350 Chemicals	1353 Commodity Chemicals	Producers and distributors of simple chemical products that are primarily used to formulate more complex chemicals or products, including plastics and rubber in their raw form, fiberglass and synthetic fibers.
			1357 Specialty Chemicals	Producers and distributors of finished chemicals for industries or end users, including dyes, cellular polymers, coatings, special plastics and other chemicals for specialized applications. Includes makers of colorings, flavors and fragrances, fertilizers, pesticides, chemicals used to make drugs, paint in its pigment form and glass in its unfinished form. Excludes producers of paint and glass products used for construction, which are classified under Building Materials & Fixtures.

INDUSTRY	SUPERSECTOR	SECTOR	SUBSECTOR	DEFINITION
	1700 Basic Resources	1730 Forestry & Paper	1733 Forestry	Owners and operators of timber tracts, forest tree nurseries and sawmills. Excludes providers of finished wood products such as wooden beams, which are classified under Building Materials & Fixtures.
			1737 Paper	Producers, converters, merchants and distributors of all grades of paper. Excludes makers of printed forms, which are classified under Business Support Services, and manufacturers of paper items such as cups and napkins, which are classified under Nondurable Household Products.
		1750 Industrial Metals & Mining	1753 Aluminum	Companies that mine or process bauxite or manufacture and distribute aluminum bars, rods and other products for use by other industries. Excludes manufacturers of finished aluminum products, such as siding, which are categorized according to the type of end product.
			1755 Nonferrous Metals	Producers and traders of metals and primary metal products other than iron, aluminum and steel. Excludes companies that make finished products, which are categorized according to the type of end product.
			1757 Iron & Steel	Manufacturers and stockholders of primary iron and steel products such as pipes, wires, sheets and bars, encompassing all processes from smelting in blast furnaces to rolling mills and foundries. Includes companies that primarily mine iron ores.
		1770 Mining	1771 Coal	Companies engaged in the exploration for or mining of coal.
			1773 Diamonds & Gemstones	Companies engaged in the exploration for and production of diamonds and other gemstones.
			1775 General Mining	Companies engaged in the exploration, extraction or refining of minerals not defined elsewhere within the Mining sector.
			1777 Gold Mining	Prospectors for and extractors or refiners of gold-bearing ores.
			1779 Platinum & Precious Metals	Companies engaged in the exploration for and production of platinum, silver and other precious metals not defined elsewhere.
		<b>2000 INDUSTRIALS</b>	2300 Construction & Materials	2350 Construction & Materials

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			2357 Heavy Construction	Companies engaged in the construction of commercial buildings, infrastructure such as roads and bridges, residential apartment buildings, and providers of services to construction companies, such as architects, masons, plumbers and electrical contractors.	
	2700 Industrial Goods & Services	2710 Aerospace & Defense	2713 Aerospace	Manufacturers, assemblers and distributors of aircraft and aircraft parts primarily used in commercial or private air transport. Excludes manufacturers of communications satellites, which are classified under Telecommunications Equipment.	
			2717 Defense	Producers of components and equipment for the defense industry, including military aircraft, radar equipment and weapons.	
		2720 General Industrials	2723 Containers & Packaging	Makers and distributors of cardboard, bags, boxes, cans, drums, bottles and jars and glass used for packaging.	
			2727 Diversified Industrials	Industrial companies engaged in three or more classes of business within the Industrial industry that differ substantially from each other.	
		2730 Electronic & Electrical Equipment	2733 Electrical Components & Equipment	Makers and distributors of electrical parts for finished products, such as printed circuit boards for radios, televisions and other consumer electronics. Includes makers of cables, wires, ceramics, transistors, electric adapters, fuel cells and security cameras.	
			2737 Electronic Equipment	Manufacturers and distributors of electronic products used in different industries. Includes makers of lasers, smart cards, bar scanners, fingerprinting equipment and other electronic factory equipment.	
		2750 Industrial Engineering	2753 Commercial Vehicles & Trucks	Manufacturers and distributors of commercial vehicles and heavy agricultural and construction machinery, including rail cars, tractors, bulldozers, cranes, buses and industrial lawn mowers. Includes nonmilitary shipbuilders, such as builders of cruise ships and ferries.	
			2757 Industrial Machinery	Designers, manufacturers, distributors and installers of industrial machinery and factory equipment, such as machine tools, lathes, presses and assembly line equipment. Includes makers of pollution control equipment, castings, pressings, welded shapes, structural steelwork, compressors, pumps, bearings, elevators and escalators.	
			2770 Industrial Transportation	2771 Delivery Services	Operators of mail and package delivery services for commercial and consumer use. Includes courier and logistic services primarily involving air transportation.

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			2773 Marine Transportation	Providers of on-water transportation for commercial markets, such as container shipping. Excludes ports, which are classified under Transportation Services, and shipbuilders, which are classified under Commercial Vehicles & Trucks.
			2775 Railroads	Providers of industrial railway transportation and railway lines. Excludes passenger railway companies, which are classified under Travel & Tourism, and manufacturers of rail cars, which are classified under Commercial Vehicles & Trucks.
			2777 Transportation Services	Companies providing services to the Industrial Transportation sector, including companies that manage airports, train depots, roads, bridges, tunnels, ports, and providers of logistic services to shippers of goods. Includes companies that provide aircraft and vehicle maintenance services.
			2779 Trucking	Companies that provide commercial trucking services. Excludes road and tunnel operators, which are classified under Transportation Services, and vehicle rental and taxi companies, which are classified under Travel & Tourism.
		2790 Support Services	2791 Business Support Services	Providers of nonfinancial services to a wide range of industrial enterprises and governments. Includes providers of printing services, management consultants, office cleaning services, and companies that install, service and monitor alarm and security systems.
			2793 Business Training & Employment Agencies	Providers of business or management training courses and employment services.
			2795 Financial Administration	Providers of computerized transaction processing, data communication and information services, including payroll, bill payment and employee benefit services.
			2797 Industrial Suppliers	Distributors and wholesalers of diversified products and equipment primarily used in the commercial and industrial sectors. Includes builders merchants.
			2799 Waste & Disposal Services	Providers of pollution control and environmental services for the management, recovery and disposal of solid and hazardous waste materials, such as landfills and recycling centers. Excludes manufacturers of industrial air and water filtration equipment, which are classified under Industrial Machinery.

INDUSTRY	SUPERSECTOR	SECTOR	SUBSECTOR	DEFINITION
3000 CONSUMER GOODS	3300 Automobiles & Parts	3350 Automobiles & Parts	3353 Automobiles	Makers of motorcycles and passenger vehicles, including cars, sport utility vehicles [SUVs] and light trucks. Excludes makers of heavy trucks, which are classified under Commercial Vehicles & Trucks, and makers of recreational vehicles [RVs and ATVs], which are classified under Recreational Products.
			3355 Auto Parts	Manufacturers and distributors of new and replacement parts for motorcycles and automobiles, such as engines, carburetors and batteries. Excludes producers of tires, which are classified under Tires.
			3357 Tires	Manufacturers, distributors and retreaders of automobile, truck and motorcycle tires.
	3500 Food & Beverage	3530 Beverages	3533 Brewers	Manufacturers and shippers of cider or malt products such as beer, ale and stout.
			3535 Distillers & Vintners	Producers, distillers, vintners, blenders and shippers of wine and spirits such as whisky, brandy, rum, gin or liqueurs.
			3537 Soft Drinks	Manufacturers, bottlers and distributors of nonalcoholic beverages, such as soda, fruit juices, tea, coffee and bottled water.
		3570 Food Producers	3573 Farming & Fishing	Companies that grow crops or raise livestock, operate fisheries or own nontobacco plantations. Includes manufacturers of livestock feeds and seeds and other agricultural products but excludes manufacturers of fertilizers or pesticides, which are classified under Specialty Chemicals.
			3577 Food Products	Food producers, including meatpacking, snacks, fruits, vegetables, dairy products and frozen seafood. Includes producers of pet food and manufacturers of dietary supplements, vitamins and related items. Excludes producers of fruit juices, tea, coffee, bottled water and other nonalcoholic beverages, which are classified under Soft Drinks.
	3700 Personal & Household Goods	3720 Household Goods & Home Construction	3722 Durable Household Products	Manufacturers and distributors of domestic appliances, lighting, hand tools and power tools, hardware, cutlery, tableware, garden equipment, luggage, towels and linens.
			3724 Nondurable Household Products	Producers and distributors of pens, paper goods, batteries, light bulbs, tissues, toilet paper and cleaning products such as soaps and polishes.
			3726 Furnishings	Manufacturers and distributors of furniture, including chairs, tables, desks, carpeting, wallpaper and office furniture.
			3728 Home Construction	Constructors of residential homes, including manufacturers of mobile and prefabricated homes intended for use in one place.

INDUSTRY	SUPERSECTOR	SECTOR	SUBSECTOR	DEFINITION	
4000 HEALTH CARE		3740 Leisure Goods	3743 Consumer Electronics	Manufacturers and distributors of consumer electronics, such as TVs, VCRs, DVD players, audio equipment, cable boxes, calculators and camcorders.	
			3745 Recreational Products	Manufacturers and distributors of recreational equipment. Includes musical instruments, photographic equipment and supplies, RVs, ATVs and marine recreational vehicles such as yachts, dinghies and speedboats.	
			3747 Toys	Manufacturers and distributors of toys and video/computer games, including such toys and games as playing cards, board games, stuffed animals and dolls.	
		3760 Personal Goods	3763 Clothing & Accessories	Manufacturers and distributors of all types of clothing, jewelry, watches or textiles. Includes sportswear, sunglasses, eyeglass frames, leather clothing and goods, and processors of hides and skins.	
			3765 Footwear	Manufacturers and distributors of shoes, boots, sandals, sneakers and other types of footwear.	
			3767 Personal Products	Makers and distributors of cosmetics, toiletries and personal-care and hygiene products, including deodorants, soaps, toothpaste, perfumes, diapers, shampoos, razors and feminine-hygiene products. Includes makers of contraceptives other than oral contraceptives, which are classified under Pharmaceuticals.	
		3780 Tobacco	3785 Tobacco	Manufacturers and distributors of cigarettes, cigars and other tobacco products. Includes tobacco plantations.	
			4500 Health Care	4530 Health Care Equipment & Services	4533 Health Care Providers
		4535 Medical Equipment			Manufacturers and distributors of medical devices such as MRI scanners, prosthetics, pacemakers, X-ray machines and other nondisposable medical devices.
		4537 Medical Supplies			Manufacturers and distributors of medical supplies used by health care providers and the general public. Includes makers of contact lenses, eyeglass lenses, bandages and other disposable medical supplies.
	4570 Pharmaceuticals & Biotechnology	4573 Biotechnology		Companies engaged in research into and development of biological substances for the purposes of drug discovery and diagnostic development, and which derive the majority of their revenue from either the sale or licensing of these drugs and diagnostic tools.	
		4577 Pharmaceuticals	Manufacturers of prescription or over-the-counter drugs, such as aspirin, cold remedies and birth control pills. Includes vaccine producers but excludes vitamin producers, which are classified under Food Products.		

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5000 CONSUMER SERVICES	5300 Retail	5330 Food & Drug Retailers	5333 Drug Retailers	Operators of pharmacies, including wholesalers and distributors catering to these businesses.
			5337 Food Retailers & Wholesalers	Supermarkets, food-oriented convenience stores and other food retailers and distributors. Includes retailers of dietary supplements and vitamins.
		5370 General Retailers	5371 Apparel Retailers	Retailers and wholesalers specializing mainly in clothing, shoes, jewelry, sunglasses and other accessories.
			5373 Broadline Retailers	Retail outlets and wholesalers offering a wide variety of products including both hard goods and soft goods.
			5375 Home Improvement Retailers	Retailers and wholesalers concentrating on the sale of home improvement products, including garden equipment, carpets, wallpaper, paint, home furniture, blinds and curtains, and building materials.
			5377 Specialized Consumer Services	Providers of consumer services such as auction houses, day-care centers, dry cleaners, schools, consumer rental companies, veterinary clinics, hair salons and providers of funeral, lawn-maintenance, consumer-storage, heating and cooling installation and plumbing services.
			5379 Specialty Retailers	Retailers and wholesalers concentrating on a single class of goods, such as electronics, books, automotive parts or closeouts. Includes automobile dealerships, video rental stores, dollar stores, duty-free shops and automotive fuel stations not owned by oil companies.
	5500 Media	5550 Media	5553 Broadcasting & Entertainment	Producers, operators and broadcasters of radio, television, music and filmed entertainment. Excludes movie theaters, which are classified under Recreational Services.
			5555 Media Agencies	Companies providing advertising, public relations and marketing services. Includes billboard providers and telemarketers.
			5557 Publishing	Publishers of information via printed or electronic media.
	5700 Travel & Leisure	5750 Travel & Leisure	5751 Airlines	Companies providing primarily passenger air transport. Excludes airports, which are classified under Transportation Services.
			5752 Gambling	Providers of gambling and casino facilities. Includes online casinos, racetracks and the manufacturers of pachinko machines and casino and lottery equipment.
			5753 Hotels	Operators and managers of hotels, motels, lodges, resorts, spas and campgrounds.

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6000 TELECOMMUNICATIONS			5755 Recreational Services	Providers of leisure facilities and services, including fitness centers, cruise lines, movie theaters and sports teams.
			5757 Restaurants & Bars	Operators of restaurants, fast-food facilities, coffee shops and bars. Includes integrated brewery companies and catering companies.
			5759 Travel & Tourism	Companies providing travel and tourism related services, including travel agents, online travel reservation services, automobile rental firms and companies that primarily provide passenger transportation, such as buses, taxis, passenger rail and ferry companies.
	6500 Telecommunications	6530 Fixed Line Telecommunications	6535 Fixed Line Telecommunications	Providers of fixed-line telephone services, including regional and long-distance. Includes companies that primarily provide telephone services through the internet. Excludes companies whose primary business is Internet access, which are classified under Internet.
			6570 Mobile Telecommunications	6575 Mobile Telecommunications
	7000 UTILITIES	7500 Utilities	7530 Electricity	7535 Conventional Electricity
7537 Alternative Electricity				Companies generating and distributing electricity from a renewable source. Includes companies that produce solar, water, wind and geothermal electricity.
7570 Gas, Water & Multiutilities			7573 Gas Distribution	Distributors of gas to end users. Excludes providers of natural gas as a commodity, which are classified under the Oil & Gas industry.
			7575 Multiutilities	Utility companies with significant presence in more than one utility.
			7577 Water	Companies providing water to end users, including water treatment plants.
8000 FINANCIALS	8300 Banks	8350 Banks	8355 Banks	Banks providing a broad range of financial services, including retail banking, loans and money transmissions.
	8500 Insurance	8530 Nonlife Insurance	8532 Full Line Insurance	Insurance companies with life, health, property & casualty and reinsurance interests, no one of which predominates.
			8534 Insurance Brokers	Insurance brokers and agencies.

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			8536 Property & Casualty Insurance	Companies engaged principally in accident, fire, automotive, marine, malpractice and other classes of nonlife insurance.
			8538 Reinsurance	Companies engaged principally in reinsurance.
		8570 Life Insurance	8575 Life Insurance	Companies engaged principally in life and health insurance.
	8600 Real Estate	8630 Real Estate Investment & Services	8633 Real Estate Holding & Development	Companies that invest directly or indirectly in real estate through development, investment or ownership. Excludes real estate investment trusts and similar entities, which are classified as Real Estate Investment Trusts.
			8637 Real Estate Services	Companies that provide services to real estate companies but do not own the properties themselves. Includes agencies, brokers, leasing companies, management companies and advisory services. Excludes real estate investment trusts and similar entities, which are classified as Real Estate Investment Trusts.
		8670 Real Estate Investment Trusts	8671 Industrial & Office REITs	Real estate investment trusts or corporations (REITs) or listed property trusts (LPTs) that primarily invest in office, industrial and flex properties.
			8672 Retail REITs	Real estate investment trusts or corporations (REITs) or listed property trusts (LPTs) that primarily invest in retail properties. Includes malls, shopping centers, strip centers and factory outlets.
			8673 Residential REITs	Real estate investment trusts or corporations (REITs) or listed property trusts (LPTs) that primarily invest in residential home properties. Includes apartment buildings and residential communities.
			8674 Diversified REITs	Real estate investment trusts or corporations (REITs) or listed property trusts (LPTs) that invest in a variety of property types without a concentration on any single type.
			8675 Specialty REITs	Real estate investment trusts or corporations (REITs) or listed property trusts (LPTs) that invest in self storage properties, properties in the health care industry such as hospitals, assisted living facilities and health care laboratories, and other specialized properties such as auto dealership facilities, timber properties and net lease properties.
			8676 Mortgage REITs	Real estate investment trusts or corporations (REITs) or listed property trusts (LPTs) that are directly involved in lending money to real estate owners and operators or indirectly through the purchase of mortgages or mortgage backed securities.
			8677 Hotel & Lodging REITs	Real estate investment trusts or corporations (REITs) or listed property trusts (LPTs) that primarily invest in hotels or lodging properties.

INDUSTRY	SUPERSECTOR	SECTOR	SUBSECTOR	DEFINITION
	8700 Financial Services	8770 Financial Services	8771 Asset Managers	Companies that provide custodial, trustee and other related fiduciary services. Includes mutual fund management companies.
			8773 Consumer Finance	Credit card companies and providers of personal finance services such as personal loans and check cashing companies.
			8775 Specialty Finance	Companies engaged in financial activities not specified elsewhere. Includes companies not classified under Equity Investment Instruments or Nonequity Investment Instruments engaged primarily in owning stakes in a diversified range of companies.
			8777 Investment Services	Companies providing a range of specialized financial services, including securities brokers and dealers, online brokers and security or commodity exchanges.
			8779 Mortgage Finance	Companies that provide mortgages, mortgage insurance and other related services.
		8980 Equity Investment Instruments	8985 Equity Investment Instruments	Corporate closed-ended investment entities identified under distinguishing legislation, such as investment trusts and venture capital trusts.
		8990 Nonequity Investment Instruments	8995 Nonequity Investment Instruments	Noncorporate, open-ended investment instruments such as open-ended investment companies and funds, unit trusts, ETFs, currency funds and split capital trusts.
9000 TECHNOLOGY	9500 Technology	9530 Software & Computer Services	9533 Computer Services	Companies that provide consulting services to other businesses relating to information technology. Includes providers of computer-system design, systems integration, network and systems operations, data management and storage, repair services and technical support.
			9535 Internet	Companies providing Internet-related services, such as Internet access providers and search engines and providers of Web site design, Web hosting, domain-name registration and e-mail services.
			9537 Software	Publishers and distributors of computer software for home or corporate use. Excludes computer game producers, which are classified under Toys.
		9570 Technology Hardware & Equipment	9572 Computer Hardware	Manufacturers and distributors of computers, servers, mainframes, workstations and other computer hardware and subsystems, such as mass-storage drives, mice, keyboards and printers.
			9574 Electronic Office Equipment	Manufacturers and distributors of electronic office equipment, including photocopiers and fax machines.

INDUSTRY	SUPERSECTOR	SECTOR	SUBSECTOR	DEFINITION
			9576 Semiconductors	Producers and distributors of semiconductors and other integrated chips, including other products related to the semiconductor industry, such as semiconductor capital equipment and motherboards. Excludes makers of printed circuit boards, which are classified under Electrical Components & Equipment.
			9578 Telecommunications Equipment	Makers and distributors of high-technology communication products, including satellites, mobile telephones, fiber optics, switching devices, local and wide-area networks, teleconferencing equipment and connectivity devices for computers, including hubs and routers.

**For more information:**

email [djindexsupport@djindexes.com](mailto:djindexsupport@djindexes.com) or call Americas +1.609.520.7249; Asia +86.10.5737.2634;

Europe +49.69.29.725.180. Learn more at [www.djindexes.com](http://www.djindexes.com).

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